

1/10

Human Codon-Optimized HER2/neu Nucleotide Sequence

1 ATGGAGCTGG CCGCCCTGTG CCGCTGGGGC CTGCTGCTGG CCCTGCTGCC
51 CCCC GGCGCC GCCAGACCC AGGTGTGCAC CGGCACCGAC ATGAAGCTGC
101 GCCTGCCCCG CAGCCCCGAG ACCCACCTGG ACATGCTGCG CCACCTGTAC
151 CAGGGCTGCC AGGTGGTGCA GGGCAACCTG GAGCTGACCT ACCTGCCAC
201 CAACGCCAGC CTGAGCTTCC TGCAGGACAT CCAGGAGGTG CAGGGCTACG
251 TGCTGATCGC CCACAACCAG GTGCGCCAGG TGCCCCTGCA GCGCCTGCGC
301 ATCGTGCGCG GCACCCAGCT GTTCGAGGAC AACTACGCCC TGGCCGTGCT
351 GGACAACGGC GACCCCCTGA ACAACACCAC CCCC GTGACC GGC GCCAGCC
401 CCGGCGGCCT GCGCGAGCTG CAGCTGCGCA GCCTGACCGA GATCCTGAAG
451 GCGGCGGTGC TGATCCAGCG CAACCCCCAG CTGTGCTACC AGGACACCAT
501 CCTGTGGAAG GACATCTTCC ACAAGAACAA CCAGCTGGCC CTGACCCTGA
551 TCGACACCAA CCGCAGCCGC GCCTGCCACC CCTGCAGCCC CATGTGCAAG
601 GGCAGCCGCT GCTGGGGCGA GAGCAGCGAG GACTGCCAGA GCCTGACCCG
651 CACCGTGTGC GCCGGCGGCT GCGCCCCGCTG CAAGGGCCCC CTGCCACCG
701 ACTGCTGCCA CGAGCAGTGC GCCGCCGGCT GCACCGCCCC CAAGCACAGC
751 GACTGCCTGG CCTGCCTGCA CTTCAACCAC AGCGGCATCT GCGAGCTGCA
801 CTGCCCCGCC CTGGTGACCT ACAACACCGA CACCTTCGAG AGCATGCCCA
851 ACCCCGAGGG CCGCTACACC TTCGGCGCCA GCTGCGTGAC CGCCTGCCCC
901 TACAACTACC TGAGCACCGA CGTGGGCAGC TGCACCCTGG TGTGCCCCCT
951 GCACAACCAG GAGGTGACCG CCGAGGACGG CACCCAGCGC TGCAGAAAGT
1001 GCAGCAAGCC CTGCGCCCGC GTGTGCTACG GCCTGGGCAT GGAGCACCTG
1051 CGCGAGGTGC GCGCCGTGAC CAGCGCCAAC ATCCAGGAGT TCGCCGGCTG
1101 CAAGAAATC TTCGGCAGCC TGGCCTTCCT GCCCGAGAGC TTCGACGGCG
1151 ACCCCGCCAG CAACACCGCC CCCCTGCAGC CCGAGCAGCT GCAGGTGTTC
1201 GAGACCCTGG AGGAGATCAC CGGCTACCTG TACATCAGCG CCTGGCCCGA
1251 CAGCCTGCCC GACCTGAGCG TGTTCAGAA CCTGCAGGTG ATCCGCGGCC
1301 GCATCCTGCA CAACGGCGCC TACAGCCTGA CCCTGCAGGG CCTGGGCATC
1351 AGCTGGCTGG GCCTGCGCAG CCTGCGCGAG CTGGGCAGCG GCCTGGCCCT
1401 GATCCACCAC AACACCCACC TGTGCTTCGT GCACACCGTG CCCTGGGACC
1451 AGCTGTTCCG CAACCCCCAC CAGGCCCTGC TGCACACCGC CAACCGCCCC
1501 GAGGACGAGT GCGTGGGCGA GGGCCTGGCC TGCCACCAGC TGTGCGCCCG
1551 CGGCCACTGC TGGGGCCCCG GCCCCACCCA GTGCGTGAAC TGCAGCCAGT
1601 TCCTGCGCGG CCAGGAGTGC GTGGAGGAGT GCCGCGTGCT GCAGGGCCTG
1651 CCCGCGAGT ACGTGAACGC CCGCCACTGC CTGCCCTGCC ACCCCGAGTG
1701 CCAGCCCCAG AACGGCAGCG TGACCTGCTT CGGCCCCGAG GCCGACCAGT
1751 GCGTGGCCTG CGCCCACTAC AAGGACCCCC CTTCTGCGT GGCCCGCTGC
1801 CCCAGCGGCG TGAAGCCCGA CCTGAGCTAC ATGCCATCT GGAAGTTCCC

FIG. 1A

3/10

Human HER2/neu Protein Sequence

1 MELAALCRWG LLLALLPPGA ASTQVCTGTD MKLRLPASPE THLDMLRHLY QGCQVVQGNL
61 ELTYLPTNAS LSFLQDIQEV QGYVLIAHNQ VRQVPLQRLR IVRGTLQFED NYALAVLDNG
121 DPLNNTTPVT GASPGLREL QLRSLTEILK GGVLIQRNPQ LCYQDTILWK DIFHKNNQLA
181 LTLIDTNRSR ACHPCSPMCK GSRCWGESSE DCQSLTRTVC AGGCARCKGP LPTDCCHEQC
241 AAGCTGPKHS DCLACLHFNH SGICELHCPA LVTYNTDTFE SMPNPEGRYT FGASCVTACP
301 YNYLSTDVGS CTLVCPLHNQ EVTAEDGTQR CEKCSKPCAR VCYGLGMEHL REVRAVTSAN
361 IQEFAGCKKI FGSLAFLPES FDGD PASNTA PLQPEQLQVF ETLEEITGYL YISAWPDSLP
421 DLSVFQNLQV IRGRILHNGA YSLTLQGLGI SWLGLRSLRE LGSGLALIIH NTHLCFVHTV
481 PWDQLFRNPH QALLHTANRP EDECVGEGLA CHQLCARGHC WPGPGPTQCVN CSQFLRGQEC
541 VEECRVLQGL PREYVNARHC LPCHPECQPQ NGSVTCFGPE ADQCVACAHY KDPPFCVARC
601 PSGVKPDL SY MPIWKFPDEE GACQPCPINC THSCVDLDDK GCPAEQRASP LTSIIISAVVG
661 ILLVVVLGVV FGILIKRRQQ KIRKYTMRR LQETELVEPL TPSGAMPNQA QMRILKETEL
721 RKKVVLGSGA FGTVYKGIWI PDGENVKIPV AIAVLRENTS PKANKEILDE AYVMAGVGSP
781 YVSRLLGICL TSTVQLVTQL MPYGCLLDHV RENRGRLGSQ DLLNWCMQIA KGMSYLEDVR
841 LVHRDLAARN VLVKSPNHVK ITDFGLARLL DIDETEHAD GGKVPIKWMA LESILRRRFT
901 HQSDVWSYGV TVWELMTFGA KPYDGIPARE IPDLLEKGER LPQPPICTID VYMIMVKCWM
961 IDSECRPRFR ELVSEFSRMA RDPQRFVVIQ NEDLGPASPL DSTFYRSLLE DDDMGDLVDA
1021 EEYLVPQQGF FCPDPAPGAG.GMVHHRHRSS STRSGGGDLT LGLEPSEEEA PRSPLAPSEG
1081 AGSDVFDGDL-GMGAAGLQS.LPTHDP SPLQ RYSEDPTVPL PSETDGYVAP LTCSPQPEYV
1141 NQPDVRPQPP SPREGPLPAA RPAGATLERP KTLSPGKNGV VKDVFAFGGA VENPEYLTPO
1201 GGAAPQPHPP PAFSPAFDNL YYWDQDPPER GAPPSTFKGT PTAENPEYLG LDVPV*
(SEQ ID NO:2)

FIG.1B

IMMUNODOMINANT T-CELL EPTOPES IN HUMAN HER2/neu PROTEIN

	IFN- γ INTRACELLULAR STAINING				
	IFN- γ ELIsport		BALB/c		
	BALB/c	NeuT	CD4 ⁺	CD8 ⁺	NeuT CD4 ⁺ CD8 ⁺
hNeu-1 TO hNeu 30 (aa 1-131)	POOL A	1,127			
hNeu-11 TO hNeu-15 (aa 41-71)	SUBPOOL A ^{III}	1,291			
hNeu-16 TO hNeu-20 (aa 61-91)	SUBPOOL A ^{IV}	1,057			
	hNeu15	1,095	1,289	0.27	46.35 0.25 47.78
	hNeu16	1,075		0.24	42.43
	hNeu15.1	518	674	0.15	25.67 0.15 25.70
	hNeu15.2	143	265	0.17	1.82 0.05 3.36
	hNeu15.3	1,258	1,488	0.22	48.78 0.39 47.43
Q G N L E L T Y L P T N A S L S F L Q					
(SEC ID NO:5)					
hNeu-31 TO hNeu-60 (aa 121-251)	POOL B	65	n.t.	n.t.	n.t.
hNeu-41 TO hNeu-45 (aa 161-191)	SUBPOOL B ^{III}	81	n.t.	n.t.	n.t.
	hNeu41	32	30	0.35	0.23 0.36 0.24
	hNeu42	42	35	0.47	0.23 0.34 0.23
161 L C Y Q D T I L W K D I F H K N N Q L					
(SEC ID NO:6)					
hNeu-301 TO hNeu-311 (aa 1201-1255)	POOL K	150	n.t.	n.t.	n.t.
hNeu-301 TO hNeu-305 (aa 1201-1231)	SUBPOOL K ^I	165	n.t.	n.t.	n.t.
	hNeu301	128	114	0.24	3.04 0.17 5.71
1202 G G A A P Q P H P P A F S P					
(SEC ID NO:7)					
DMSO				0.10	0.12 0.18 0.17
SEB				1.04	2.07 1.11 1.56

FIG.2

5/10

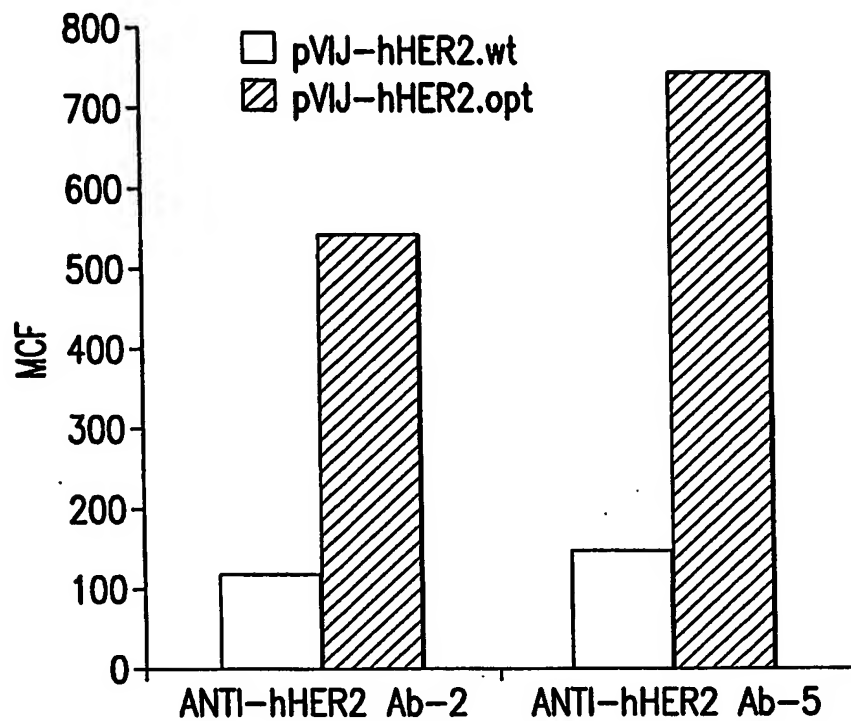
IN VITRO EXPRESSION OF HER2
HEK-293 CELLS

FIG.3A

IN VITRO EXPRESSION OF HER2

C2C7 CELLS

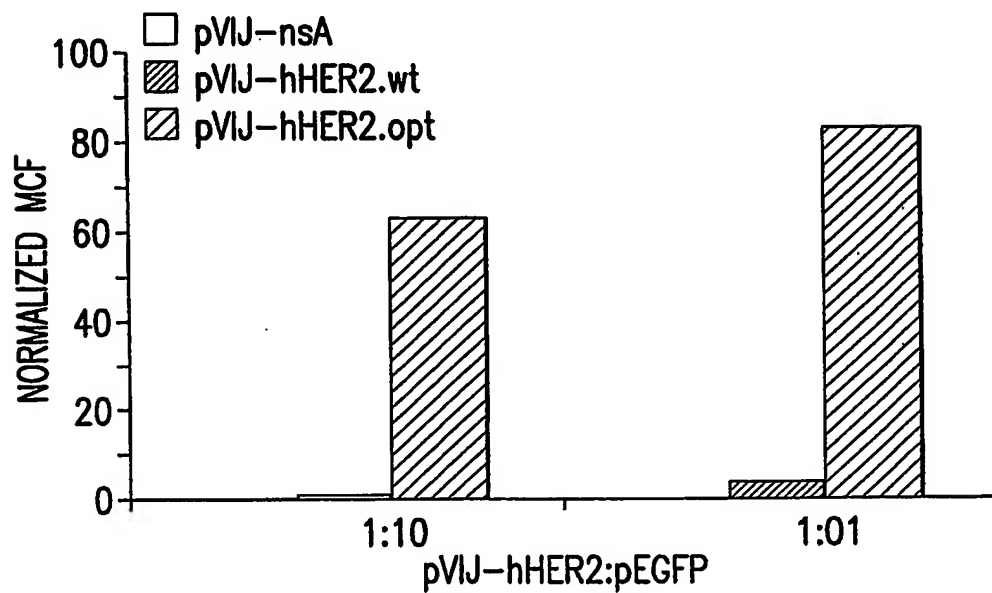


FIG.3B

6/10

IMMUNE RESPONSE TO HUMAN HER2

MOUSE IFN γ ELISPOT	MOUSE#	PEPTIDE	
		hNeu15.3 (CD8+)	hNeu42 (CD4+)
pV1J_hHER2.wt	14, 17	15	2
pV1J_hHER2.opt	1, 8	286	48
pV1J_hHER2wt	3, 7	28	16
pV1J_hHER2.opt	2, 5	250	60

FIG.4A

IMMUNE RESPONSE TO HUMAN HER2

ISOTYPING ANTI-humHER2 Ab

	IgG1	IgG2a
pV1J_hHER2.wt	<100	<100
pV1J_hHER2.opt	45,940	77,648

FIG.4B

IMMUNIZATION OF MICE WITH pV1J-HER2 AND Ad5-hHER2

	Ad5-hHER2		pV1J-hHER2 w/ES	
	BALB/c	NeuT	BALB/c	NeuT
hNeu15.3	1,258	1,488	41	56
hNeu41	32	30	1	2
hNeu301	128	114	37	30

FIG.5

7/10

Human Codon-Optimized HER2ECDTM Nucleotide Sequence

ATGGAGCTGG CCGCCCTGTG CCGCTGGGGC CTGCTGCTGG CCCTGCTGCC CCCC GGCGCC
GCCAGCACCC AGGTGTGCAC CGGCACCGAC ATGAAGCTGC GCCTGCCCGC CAGCCCCGAG
ACCCACCTGG ACATGCTGCG CCACCTGTAC CAGGGCTGCC AGGTGGTGCA GGGCAACCTG
GAGCTGACCT ACCTGCCCAC CAACGCCAGC CTGAGCTTCC TGCAGGACAT CCAGGAGGTG
CAGGGCTACG TGCTGATCGC CCACAACCAG GTGCGCCAGG TGCCCCTGCA GCGCCTGCGC
ATCGTGCGCG GCACCCAGCT GTTCGAGGAC AACTACGCCC TGGCCGTGCT GGACAACGGC
GACCCCTGA ACAACACCAC CCCCCTGACC GGCGCCAGCC CCGCGGCCT GCGCGAGCTG
CAGCTGCGCA GCCTGACCGA GATCCTGAAG GGCGCGTGC TGATCCAGCG CAACCCCCAG
CTGTGCTACC AGGACACCAT CCTGTGGAAG GACATCTTCC ACAAGAACA CCAGCTGGCC
CTGACCCTGA TCGACACCAA CCGCAGCCGC GCCTGCCACC CCTGCAGCCC CATGTGCAAG
GGCAGCCGCT GCTGGGCGGA GAGCAGCGAG GACTGCCAGA GCCTGACCCG CACCGTGTGC
GCCGGCGGCT GCGCCCGCTG CAAGGGCCCC CTGCCACCG ACTGCTGCCA CGAGCAGTGC
GCCGCCGGCT GCACCGGCCC CAAGCACAGC GACTGCCTGG CCTGCCTGCA CTTCAACCAC
AGCGGCATCT GCGAGCTGCA CTGCCCCGCC CTGGTGACCT ACAACACCGA CACCTTCGAG
AGCATGCCCA ACCCCGAGGG CCGCTACACC TTCGGCGCCA GCTGCGTGAC CGCCTGCCCC
TACAACTACC TGAGCACCGA CGTGGGCAGC TGCACCCTGG TGTGCCCCCT GCACAACCAG
GAGGTGACCG CCGAGGACGG CACCCAGCGC TGCAGAAAGT GCAGCAAGCC CTGCGCCCGC
GTGTGCTACG GCCTGGGCAT GGAGCACCTG CGCGAGGTGC GCGCCGTGAC CAGCGCCAAC
ATCCAGGAGT TCGCCGGCTG CAAGAAGATC TTCGGCAGCC TGGCCTTCCT GCCCGAGAGC
TTCGACGGCG ACCCCGCCAG CAACACCGCC CCCCTGCAGC CCGAGCAGCT GCAGGTGTTT
GAGACCCTGG AGGAGATCAC CGGCTACCTG TACATCAGCG CCTGGCCCGA CAGCCTGCCC
GACCTGAGCG TGTTCCAGAA CCTGCAGGTG ATCGCGGGCC GCATCCTGCA CAACGGCGCC
TACAGCCTGA CCCTGCAGGG CCTGGGCATC AGCTGGCTGG GCCTGCGCAG CCTGCGCGAG
CTGGGCAGCG GCCTGGCCCT GATCCACCAC AACACCCACC TGTGCTTCGT GCACACCGTG
CCCTGGGACC AGCTGTTCCG CAACCCCCAC CAGGCCCTGC TGCACACCGC CAACGCCCCC
GAGGACGAGT GCGTGGGCGA GGGCCTGGCC TGCCACCAGC TGTGCGCCCG CGGCCACTGC
TGGGGCCCCG GCCCCACCCA GTGCGTGAAC TGCAGCCAGT TCCTGCGCGG CCAGGAGTGC
GTGGAGGAGT GCCGCGTGCT GCAGGGCCTG CCCC GCGAGT ACGTGAACGC CCGCCACTGC
CTGCCCTGCC ACCCCGAGTG CCAGCCCCAG AACGGCAGCG TGACCTGCTT CGGCCCCGAG
GCCGACCAGT GCGTGGCCTG CGCCCACTAC AAGGACCCCC CTTCTGCGT GGCCCGCTGC
CCCAGCGCG TGAAGCCCGA CCTGAGCTAC ATGCCCATCT GGAAGTTCCC CGACGAGGAG
GGCGCTGCC AGCCCTGCCC CATCAACTGC ACCCAGAGCT GCGTGGACCT GGACGACAAG
GGTGCCCCG CCGAGCAGCG CGCCAGCCCC CTGACCAGCA TCATCAGCGC CGTGGTGGGC
ATCTGCTGG TGGTGGTGCT GGGCGTGGTG TTCGGCATCC TGATCTGA (SEQ ID NO:9)

FIG.6A

8/10

Human HER2ECDTM wt Nucleotide Sequence

ATGGAGCTG GCGGCCTTG TGCCGCTGG GGGCTCCTC CTGCCCCTC TTGCCCCC GGAGCCGGC
 AGCACCCAA GTGTGCACC GGCACAGAC ATGAAGCTG CCGCTCCCT GCCAGTCCC GAGACCCAC
 CTGGACATG CTCCGCCAC CTCTACCAG GGCTGCCAG GTGGTGCAG GGAAACCTG GAACTCACC
 TACCTGCCC ACCAATGCC AGCCTGTCC TTCCTGCAG GATATCCAG GAGGTGCAG GGCTACGTG
 CTCATCGCT CACAACCAA GTGAGGCAG GTCCCACTG CAGAGGCTG CGGATTGTG CGAGGCACC
 CAGCTCTTT GAGGACAAC TATGCCCTG GCCGTGCTA GACAATGGA GACCCGCTG AACAAATACC
 ACCCTGTC ACAGGGGCC TCCCAGGA GGCCTGCGG GAGCTCCAG CTTGGAAGC CTCACAGAG
 ATCTTGAAA GGAGGGGTC TTGATCCAG CGGAACCCC CAGCTCTGC TACCAGGAC ACGATTTTG
 TGAAGGAC ATCTTCCAC AAGAACAAC CAGCTGGCT CTCACACTG ATAGACACC AACCGCTCT
 CGGGCCTGC CACCCCTGT TCTCCGATG TGAAGGGC TCCCGCTGC TGGGAGAG AGTTCTGAG
 GATTGTCAG AGCCTGACG CGCACTGTC TGTGCCGGT GGCTGTGCC CGCTGCAAG GGGCCACTG
 CCCACTGAC TGCTGCCAT GAGCAGTGT GGTGCCGGC TGCACGGC CCAAGCAC TCTGACTGC
 CTGGCCTGC CTCCACTTC AACCACAGT GGCATCTGT GAGCTGCAC TGCCAGCC CTGGTCACC
 TACAACACA GACACGTTT GAGTCCATG CCAATCCC GAGGGCCGG TATACATTC GGCGCCAGC
 TGTGTGACT GCCTGTCCC TAACTAC CTTTCTACG GACGTGGA TCCTGCACC CTGCTCTGC
 CCCCTGCAC AACCAAGAG GTGACAGCA GAGGATGGA ACACAGCGG TGTGAGAAG TGCAGCAAG
 CCCTGTGCC CGAGTGTGC TATGGTCTG GGCATGGAG CACTTGCGA GAGGTGAGG GCAGTTACC
 AGTGCCAAT ATCCAGGAG TTTGCTGGC TGCAAGAAG ATCTTTGGG AGCCTGGCA TTTCTGCCG
 GAGAGCTTT GATGGGAC CCAGCCTCC AACACTGCC CCGCTCCAG CCAGAGCAG CTCCAAGTG
 TTTGAGACT CTGGAAGAG ATCACAGGT TACCTATAC ATCTCAGCA TGGCCGGAC AGCCTGCCT
 GACCTCAGC GTCTTCCAG AACCTGCAA GTAATCCGG GGACGAAT CTGCACAAT GGCGCCTAC
 TCGTGACC CTGCAAGGG CTGGGCATC AGCTGGCTG GGGCTGCC TCCTGAGG GAACTGGGC
 AGTGGACTG GCCCTCATC CACCATAAC ACCACCTC TGCTTCGTG CACACGGTG CCCTGGGAC
 CAGCTCTTT CGGAACCCG CACCAAGCT CTGCTCCAC ACTGCCAAC CGGCCAGAG GACGAGTGT
 GTGGGGCAG GGCCTGGCC TGCCACCAG CTGTGCCCC CGAGGGCAC TGCTGGGT CCAGGGCCC
 ACCCAGTGT GTCAACTGC AGCCAGTTC CTTGGGGC CAGGAGTGC GTGGAGGAA TGCCGAGTA
 CTGCAGGG CTCCCCAGG GAGTATGTG AATGCCAGG CACTGTTG CCGTGCCAC CCTGAGTGT
 CAGCCCCAG AATGGCTCA GTGACCTGT TTTGGACCG GAGGCTGAC CAGTGTGTG GCCTGTGCC
 CACTATAAG GACCCCTCC TTCTGCGTG GCCCGCTGC CCCAGCGT GTGAAACCT GACCTCTCC
 TACATGCCC ATCTGGAAG TTTCCAGAT GAGGAGGGC GCATGCCAG CCTTGCCCC ATCAACTGC
 ACCCACTCC TGTGTGGAC CTGGATGAC AAGGGCTGC CCCGCCGAG CAGAGAGCC AGCCCTCTG
 ACGTCCATC ATCTCTGCG GTGGTTGGC ATTCTGCTG GTCGTGGT TTGGGGTG GTCTTTGGG
 ATCCTCATC TGA (SEQ ID NO:10)

FIG.6B

9/10

RHESUS MONKEY IMMUNIZATION STUDIES

IMMUNIZATION WEEK	DNA 0	DNA 8	DNA 12	DNA 16	23	Ad5 27	Ad5 31	35	40	44
RI-497										
A	3	0	0	0	10	n.t.	3	0	0	0
B	5	0	0	47	20	n.t.	3	0	5	0
C	5	15	45	50	60	n.t.	0	0	5	0
D	13	20	5	67	47	n.t.	10	0	5	0
E	10	0	0	15	25	n.t.	5	0	0	0
F	5	0	0	0	17	n.t.	13	8	8	0
G	8	0	0	57	20	n.t.	15	0	0	0
H	3	20	0	35	30	n.t.	0	0	5	0
J	8	0	0	75	37	n.t.	3	0	5	0

RI-503

A	3	18	13	5	8	n.t.	3	5	5	0
B	0	13	13	3	5	n.t.	3	3	5	0
C	1	10	13	15	8	n.t.	3	3	3	3
D	4	8	13	5	8	n.t.	0	3	0	0
E	6	10	10	8	3	n.t.	3	13	8	18
F	4	13	33	13	10	n.t.	20	95	13	10
G	8	8	18	5	8	n.t.	0	3	3	0
H	4	15	23	15	10	n.t.	5	3	0	0
J	4	13	13	13	5	n.t.	3	3	3	0

RI-512

A	3	0	23	2	0	n.t.	0	0	13	0
B	14	0	23	22	0	n.t.	43	65	65	15
C	20	0	30	17	3	n.t.	53	60	85	8
D	13	0	15	5	0	n.t.	0	0	8	0
E	24	0	0	2	0	n.t.	23	23	28	8
F	8	0	23	0	20	n.t.	303	473	535	145
G	21	0	13	7	0	n.t.	0	8	988	183
H	19	3	28	n.t.	8	n.t.	n.t.	10	8	3
J	13	3	0	n.t.	13	n.t.	n.t.	140	128	15

RI-520

A	3	0	0	15	0	n.t.	0	3	2.5	0
B	0	3	0	0	0	n.t.	0	3	0	0
C	0	0	5	5	0	n.t.	3	3	0	0
D	0	8	17	10	7	n.t.	0	0	0	0
E	0	0	0	20	0	n.t.	33	3	5	35
F	0	5	10	10	0	n.t.	10	28	30	54
G	0	3	0	5	0	n.t.	0	3	2.5	0
H	0	3	0	5	0	n.t.	0	5	0	0
J	0	0	2	20	0	n.t.	0	0	0	e

FIG.7

10/10

IMMUNIZATION OF MICE WITH pV1J-HER2_{opt} AND pV1J-HER2ECDTM_{opt}

	hNeu15.3	hNeu41
pV1J-hHER2 _{opt}	468	12
pV1J-hHER2ECDTM _{opt}	655	92

FIG.8